

## **PLASMA PROCESSED SURFACES FOR LIFE SCIENCES** polymer surface functionalisation

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Biodegradable Polymers: Synthesis and Functionalisation Workshop in the framework of the HyMedPoly ITN project

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### PLASMA PROCESSED SURFACES FOR LIFE SCIENCES

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### **OUTLINE**

- **o** Plasmas for Life Sciences
- Surface modification plasma processes for (bio)materials
- Selected examples
  - plasma processing of scaffolds for Tissue Engineering
  - nano/bio composite PE-CVD coatings
  - free standing PE-CVD coatings "NanoFilms"
- Conclusions
- Acknowledgements

## 1928 I. LANGMUIR INTRODUCES THE WORD "PLASMA"

I. Langmuir, *Oscillations in Ionized Gases* Proc. Nat. Acad. Sci. 14, 627, Aug 1928

"Except near the electrodes, where there are sheaths containing very few electrons, the ionized gas contains ions and electrons in about equal numbers, so that the resultant space charge is very small. We shall use the name plasma to describe this region containing balanced charges of ions and electrons."

### Irving Langmuir (1881-1957)



### **Nobel Laureate in Chemistry 1932**

... for his discoveries and investigations in surface chemistry ...



## THERMONUCLEAR PLASMA





## THERMAL PLASMAS

welding, cutting, metallurgy, plasma spray deposition, ICP spectroscopy, waste abatment









# thermal plasmas for materials

# PLASMA SPRAY















understanding mechanisms

**PLASMA** active process DIAGNOSTICS species scale-up **Optical Emission Spectroscopy** Laser Induced Fluorescence properties plasma & stability UV-VIS/IR Absorption Spectroscopy parameters optimization Mass Spectrometry **SURFACE DEPOSITION/ETCHING ANALYSIS RATE MEASUREMENT** ESCA, sSIMS, derivatization, Laser Interferometry ATR-FTIR, SEM, AFM, SPR, Quartz Crystal Microbalance Contact Angle, ... a-step

# WHAT PLASMAS CAN DO FOR LIFE SCIENCES

- surface engineering of biomedical materials
- sterilization/decontamination of materials
- o plasma medicine

and spin off applications (Food, Agriculture, ...)

PLASMAS FOR LIFE SCIENCES

## PLASMA MEDICINE

plasma is used directly on biological tissues in therapies for: wound sterilization and healing, cancer treatments, dentistry, ...



## **PLASMA STERILIZATION**

### plasma is used on biomedical and other materials (solutions, food, vegetables, ...) for sterilization and decontamination



Figure 4. Photograph of the plasma pencil in operation



*Figure* 7. Localized inactivation of *E. coli* by the plasma pencil.<sup>[31]</sup> The top petri dish is the control, the left and right petri dishes represent 30 and 120 s plasma exposures, respectively. Helium is the operating gas.

Laroussi et al PPP 3, 470, 2006 PPP 4, 777, 2007

#### PLASMAS FOR LIFE SCIENCES

## **SURFACE ENGINEERING PLASMA PROCESSES**

plasma treatment, deposition and etching for tailoring surface composition, morphology and properties of (bio)materials, to the best interaction with cells, bacteria, tissues, blood, biological fluids



Time-lapse of Human Fibroblasts on PS plasma patterned with cell-adhesive (PEO15) tracks and non fouling (PEO5) domains. Cells grow confined within the 40  $\mu$ m tracks and avoid the PEO-like domains.



Plasma-treated PCL scaffold inplanted in an ovine condile (knee) for *in vivo* Regenerative Medicine experiments.

our lab, unpublished

Sardella et al PPP 3, 456, 2006

PLASMAS FOR LIFE SCIENCES





# plasma processes are investigated since the 60's for adapting the surface of biomaterials







for ex vivo in vitro in vivo short medium long-term contact with biological entities



### cold plasmas can tailor the CHEMICAL COMPOSITION of (bio)materials surfaces



Proteins adhere to exposed surfaces IMMEDIATELY, in a DYNAMIC PROCESS.

Density and conformation of proteins depend on the SURFACE CHEMISTRY of the substrate.

Within the FIRST DAY cells "interrogate" and "recognize" the protein layer through their FOCAL SITES (10-50 nm).

Cell attachment, adhesion, growth and behaviour is mediated by the surface protein layer.

> SURFACE MODIFICATION PLASMAS FOR (BIO)MATERIALS

### cold plasmas can tailor also MORPHOLOGY and TEXTURE of (bio)materials surfaces



### **CONTACT GUIDANCE**

Cell adhesion, growth and behaviour is mediated also by constrains induced in the cytoskeleton by MORPHOLOGY, ROUGHNESS, TEXTURE and surface PATTERNS of the substrate material.

**C Clark, A Curtis** *et al Development*, 108, 635, **1990**  cold plasma can tailor INDEPENDENTLY surface composition and surface morphology of substrates

- 1- substrate
- 2- change surface morphology
- **3- change surface chemistry**



#### PTFE-like desert rose ctg

PTFE-like ribbon-like ctg







PS nanotextured  $CF_4/O_2$  etch

PET nanostructured by plasma-aided colloidal lithography

### FUNCTIONALIZATION OF (BIO)MATERIALS IN PLASMA PROCESSES

stable engineered bionterfaces





### synthesis

polymer membrane scaffold bio sensor

. . .

SURFACE MODIFICATION PLASMAS FOR (BIO)MATERIALS

### functionalization PE-CVD/treatment

┭ ╇ ┭ ┭ ⋪

plasma diagnostics surface analysis stability ageing

...

-COOH -NH<sub>2</sub> -OH >C=0



coupling a biomolecule

surface analysis





#### cell culture bioreactor

biological tests stability, ageing

### SURFACE PROPERTIES OF BIOMEDICAL INTEREST THAT CAN BE TAILORED VIA PLASMA

- chemical composition
- roughness, morphology, texture, patterns
- hydrophobicity / hydrophilicity
- acid / basic character
- mechanical, elasticity,

•....

### **APPLICATIONS**

- surfaces with improved (faster, selective, ...) cell adhesion/growth
- surface immobilization of biomolecules (ECM, enzymes, peptides, ...)
- protein/cell/bacteria repellent (unfouling) surfaces
- faster/better 3D colonized scaffolds for Regenerative Medicine
- improved membranes for dialysis and other purposes
- bactericidal surfaces
- drug release systems
- advances prostheses
- sensors

•



parallel plate plasma reactor



surface functionalization of materials in cold plasmas

## **PLASMA SOURCES**



## functionalization by PE-CVD

### modified thickness 10 nm – 1 $\mu m$



DLC, SiOx, ...

functionalized -COOH, -NH<sub>2</sub>, -OH, >C=O ...

substrate

organic PEO-like, pdAA, teflon-like, silicone-like ... nano (bio) composite



(bio) organic/inorganic metal/ceramic clusters or biomolecules embedded in a matrix

- careful optimization of plasma conditions
- Low vs Atm Pressure
- retention of the monomer structure
- ageing
- stability in water-based media
- adhesion to the substrate
- pre-treatments / graded coatings may be needed

SURFACE MODIFICATION PLASMAS FOR (BIO)MATERIALS

## functionalization by Plasma Treatments

grafting of (polar) functional groups modified thickness 1 – 10 nm

cross-linked layer  $\rightarrow$ (do it first !)



- optimization of plasma conditions
- Low vs Atm Pressure
- ageing
- hydrophobic recovery
- stability in water-based media
- pre-treatments are generally needed

## **Plasma Etching**

sculpting/patterning polymer "lab chips", μm texturing of surfaces,  $\mu m - nm$ plasma-aided coll. lithography & other methods, µm - nm



sculpted

textured

plasma-aided colloidal lithography

EHT= 20.0 KV

10.0µm H

BART

WD= 3

MAG

mm

## scaffolds for TISSUE ENGINEERING and REGENERATIVE MEDICINE



TE is an excellent alternative to artificial prosthesis and organ transplant to replace diseased or damaged organs. TE uses cells seeded in 3D scaffolds, that serve as temporary support for guiding tissue regeneration in vitro /in vivo.

### **REQUIREMENTS FOR SCAFFOLDS**

not toxic (biocompatible)

proper degradation rate (biodegradable)

high porosity, proper pore size, interconnected pores

proper mechanical properties

proper surface composition (e.g. hydrobhopic --> hydrophilic)





Trizio, Intranuovo, Gristina, Dilecce, Favia He/O<sub>2</sub> Atmospheric Pressure plasma jet treatments of PCL scaffolds for Tissue Engineering and Regenerative Medicine; submitted 2015

Sardella, Fisher, Shearer, Garzia-Trulli, Gristina, Favia N<sub>2</sub>/H<sub>2</sub>O plasma assisted functionalization of PCL porous scaffolds: acidic/basic character vs cell behavior Plasma Proc. Polym. accepted, 2015

Intranuovo, Gristina, Brun, Mohammadi, Ceccone, Sardella, Rossi, Tromba, Favia Plasma modification of PCL porous scaffolds fabricated by Solvent-Casting/Particulate-Leaching for Tissue Engineering Plasma Proc. Polym. 11, 184, 2014

Brun, Intranuovo, Mohammadi, Domingos, Favia, Tromba A comparison of 3D PCL Tissue Engineering scaffolds produced with conventional and additive manufacturing techniques by means of quantitative analysis of SR  $\mu$ -CT images J Instr. 8, 1, art. n.C07001, 2013

Domingos, Intranuovo, Gloria, Gristina, Ambrosio, Favia, Bartolo Improved osteoblast cell affinity on plasma-modified 3D extruded PCL scaffolds Acta Biomaterialia 9, 5997, 2013

Intranuovo, Howard, White, Johal, Ghaemmaghami, Favia, Howdle, Shakesheff, Alexander Uniform cell colonisation of porous 3D scaffolds achieved using radial control of surface chemistry Acta Biomaterialia 7, 3336, 2011

Intranuovo, Sardella, Gristina, Nardulli, White, Howard, Shakesheff, Alexander, Favia PE-CVD processes improve cell affinity of polymer scaffolds for Tissue Engineering Surf. Coat. Tech. 205, S548, 2011

### ATMOSPHERIC PRESSURE

APPJ He/O<sub>2</sub>

LOW PRESSURE

many configurations 13.56 MHz  $N_2/H_2O$  $C_2H_4/N_2 + H_2$  $C_2H_4/N_2 + C_2H_4$  $C_2H_4/AA$  $O_2 + DEGDME$ 



## Solvent Casting/Particulate Leaching poly-ε-caprolactone scaffolds

- Salt sieving, PCL/CHCl<sub>3</sub> solution
- Addition of NaCl
- Pouring into a PTFE mould
- Removal of scaffolds
- Solvent removal, salt leaching
- Drying, storage





Moulding







Solvent removal and salt leaching

Drying and storage

Experimental parameters:

PCL/CHCl<sub>3</sub> 20/80 wt/wt PCL/NaCl 5/95 - 8/92 - 10/90 wt/wt NaCl crystal size: 300-500  $\mu$ m Scaffold size: 4 mm dia, 10 mm thick Mean porosity 89 ± 3 % Avg pore size 290 ± 90  $\mu$ m

## **PE-CVD of functional coatings on/within PCL scaffolds**

- flat PCL samples prepared with spin coating
- PCL scaffolds prepared with SCPL technique
- 2 PE-CVD processes investigated.
   WCA, water absorption and XPS to characterize the coatings
- 2 coatings tested for cyto-compatibility in vitro
- 1 coating selected for *in vivo* tests



**1)** pdE:N/H<sub>2</sub> coating with nitrogen and oxygen containing functional groups N<sub>2</sub>/ethylene 5/1; 47 Pa; 50 W; 30 min; followed by H<sub>2</sub>; 20 W; 3 min

**2) pdE:AA** coating with oxygen containing functional groups

acrylic acid/ethylene/Ar 3/1/2; 33 Pa; 30 W; 20 min

## plasma processing of porous substrates



# chemical composition in depth



XPS chemical composition of scaffold sections at different depth of PdE:N/H<sub>2</sub>(C%:  $\Box$ , O%:  $\Delta$ , N%:  $\circ$ ) and of PdE:N/C<sub>2</sub>H<sub>4</sub> (C%:  $\blacksquare$ , O%:  $\blacktriangle$ , N%:  $\bullet$ ) treated scaffolds.

### in vitro experiments

1x10<sup>4</sup> **BMSCs** were seeded on each 2D/3D PCL sample. Cell viability (**MTT**) and morphology (**actin cytoskeleton** fluorescence microscopy) were studied at 18, 42 and 65 h of culture.







## Saos2 Cells morphology on scaffolds



plasma processing of scaffolds

## PdE:N/H<sub>2</sub> treated PCL scaffolds become:

- functionalized with polar -N and O containing groups outside and inside the 3D porous structure
  - → BETTER CELL ADHESION & PROLIFERATION

- wettable and water absorbing
  - → IMPROVED PENETRATION OF WATER & MEDIUM IMPROVED PENETRATION OF NUTRIENTS in vivo

TIMELINE

2 weeks to get used to the place



time 3 months sacrifice GROUP 1

PCL 3 (2) PCL + plasma 3 (4) PCL + plasma + BMScells 3 (4) time 6 months sacrifice GROUP 2

PCL 6 (2) PCL + plasma 6 (4) PCL + plasma + BMScells 6 (4)

### in vivo experiments

**pdE:N/H<sub>2</sub> coated** PCL scaffolds were implanted in ovine knees (sheep 9-10 yo, 40-50 Kg), in left lateral decubitus with the limb abducted. An osteochondral defect (4 mm dia) was sculpted in the medial condyle of the right femur and replaced with the scaffold.





Sez. Veterinaria, Dipartimento dell'Emergenza e Trapianto Organi, D.E.T.O. Prof. Antonio Crovace, Università di Bari



PCL plasma 3



PCL plasma cell 3

PCL 6



PCL plasma 6



PCL plasma cell 6

space resolution

3D substrates

RF Glow Discharge system Low Pressure



Surface modification LOW PRESSURE PLASMAS have about 45 years of tradition in biomaterials and biomedical devices (1st paper in 1969)

LOW P PLASMAS STILL OFFER MORE VERSATILEPROCESSEShigh range of chemical compositionsanysotropic etching

kind, size, shape of substrates good coating/substrate adhesion

In recent years, however, ATMOSPHERIC PRESSURE PLASMAS started to produce surfaces formely synthesized only at low pressure



DBD system Atmospheric Pressure

Favia et al, Eur. Phys. J. Appl. Phys. 56, 24023, 2011

#### DBD system

biomolecule loaded **drug release coatings** deposited by aerosol-assisted atmospheric pressure plasma





nano/bio composite PE-CVD coatings

#### Plasma Process. Polym. 2011, 8, 965–974



### Exploration of Atmospheric Pressure Plasma Nanofilm Technology for Straightforward Bio-Active Coating Deposition: Enzymes, Plasmas and Polymers, an Elegant Synergy<sup>a</sup>

Pieter Heyse, Arne Van Hoeck, Maarten B. J. Roeffaers, Jean-Paul Raffin, Alexander Steinbüchel, Tim Stöveken, Jeroen Lammertyn, Pieter Verboven, Pierre A. Jacobs, Johan Hofkens, Sabine Paulussen,\* Bert F. Sels

While protein or enzyme immobilization methodologies are readily applicable in a majority of industrial processes, some lacunas still remain. For example, the multi-step, wet-chemical nature of current immobilization reactions limits straightforward bio-film fabrication in continuous production units. As such, a fast and preferably single step immobilization technique, minimizing solvent use and decoupling deposition substrate from used method is awaited. In this research, an atmospheric pressure plasma reaction environment is chosen for its flexibility in terms of reactivity and the ease of coating depositions on a wide variety of substrates. Organic coating precursors such as acetylene or pyrrole are injected simultaneously with an atomized enzyme solution directly in the discharge. By atomizing the enzyme solution, the enzyme molecules are surrounded by a watery shell. It is envisioned that such droplet act as "shuttles", delivering the enzymes to the discharge while protecting them from the harsh plasma conditions. In the discharge, polymerization of the added organic coating precursor takes place and consequently, the enzyme molecules become trapped in the

growing polymer network. In addition, atomization of the protein solution favors the spatial distribution of the proteins in the coating. Several enzymes are evaluated and enhanced temperature and solvent stability is observed. Moreover, single molecule fluorescence, enzyme activity and bio-recognition experiments demonstrate protein integrity after plasma assisted immobilization.



## advantages of aerosol-assisted atmospheric pressure discharges



### aerosol feed

thermally unstable precursors high vapour tension precursors high precursor concentration no heating use of solutions/suspensions of

biomolecules, nanoparticles, ...

### **DBD (APP Jet)**

no/reduced pumping system easy processing of highly degassing substrates easier integration in on-line systems possible use of precursors in aerosol



### **BIOMOLECULES** immobilized /embedded

*enzymes proteins, peptides DNA anti oxidant molecules anti thrombotic molecules growth factors anti bacterial drugs* 

nanoparticles

### **APPLICATIONS**

biomaterials, prostheses enhanced cell adhesion & growth scaffolds for Regenerative Medicine anti-bacterial surfaces lab on chip biosensors drug release drug testing active packaging food conservation









# AIM OF THE WORK AP-PLASMA DEPOSITION



**Reactive in plasma environment** 

Suitable source for CH<sub>x</sub> matrix

H<sub>2</sub>O addition for tuning coating properties

natural antibacterial molecule

releasable

## **DBD REACTOR**



External parameters			
Frequency	4 kHz	11 kHz	
Applied voltage	6 kV <sub>pp</sub> (sinusoidal)		
Power	0.3 – 0.4 W/ cm <sup>-2</sup>	0.9– 1.3 W/ cm <sup>-2</sup>	• FTIR
C <sub>2</sub> H <sub>4</sub> flow rate	10 sccm		• XPS
Total He flow rate	5 slm		• Profilometry
He flow rate through atomizer	0 – 5 slm (0 – 150 mg/min H <sub>2</sub> O)		











## LYSOZYME<sub>sol</sub> / C<sub>2</sub>H<sub>4</sub> - FTIR



4KHz / 6KVpp [Lys]<sub>aerosol</sub> 5/8 mg/mL He 5 slm C<sub>2</sub>H<sub>4</sub> 10 sccm H<sub>2</sub>O 136 ml/min thickness ≈700 nm

## LYSOZYME<sub>sol</sub> / C<sub>2</sub>H<sub>4</sub> – release test

4KHz / 6KVpp [Lys]<sub>aerosol</sub> 8 mg/ml He 5 slm C<sub>2</sub>H<sub>4</sub> 10 sccm thickness ≈700nm



## LYSOZYME<sub>sol</sub> / C<sub>2</sub>H<sub>4</sub> – release test

4KHz / 6KVpp [Lys]<sub>aerosol</sub> 8 mg/ml He 5 slm C<sub>2</sub>H<sub>4</sub> 10 sccm thickness ≈700nm





About 30 µg/ml Lysozyme in the extraction liquid after 1 h immersion

## LYSOZYME<sub>sol</sub> / C<sub>2</sub>H<sub>4</sub> – release test HPLC

Column: Zorbax SB300-C 18 (150 x 4.6 mm; i.d. 5  $\mu$ m, 300 Å pore, Agilent). Linear gradient: 20 - 100% CH3CN with 0.1% TFA; flow rate: 1 mL/min for 20 min. Reference: Lysozyme 25  $\mu$ g/ml



Immersion time (min)	15	30	45	60
Lysozyme in the extraction liquid (µg/ml, cumulative)	1.8	23.1	25.0	27.5

HPLC confirms the presence of Lysozyme in the coating, not altered by the plasma

almost all Lysozyme embedded is released in 1h

is Lysozyme still «alive»?

### Antimicrobial assay against *Micrococcus lysodeikticus* (Lie et al., Acta Veterinaria Scandinavica, 27(1): 23-32, 1986)

- Well plate diffusion 40 µl/well of enzyme solution (standard Lysozyme solution or extracts from Lysozyme biocomposite samples)
- buffered agar medium containing *M. lysodeikticus* incubated at 37 °C overnight

E, G spots from the estracts of the coatings

10, 30, 300 spots from standard Lys solutions

A, H, blank negative controls



Discoloration halo due to cell walls lysis for the lysozyme solution extracted from plasma deposited coatings

embedded Lysozyme is released in active antibacterial form

Well content	Inhibition halo diameter [mm]	
C <sub>2</sub> H <sub>4</sub> /Lyz <sub>sol</sub> HiLyz coating	8 ± 1	
C <sub>2</sub> H <sub>4</sub> /H <sub>2</sub> O plasma deposited coating (control)	0	
Lyz standard solution (10 $\mu g/mL$ )	0	
Lyz standard solution (30 $\mu g/mL$ )	6 ± 1	
Lyz standard solution (300 $\mu g/mL$ )	$12 \pm 1$	
Blank (negative control)	0	

### Table 5 Agar diffusion activity test results for the HiLyz coating.

#### Palumbo et al, PPP 12, early view, 2015





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free-standing bio-functional NanoFilms produced by plasma assisted technology

E Sardella, F Palumbo, R Gristina, P Favia (CNR NANOTEC – UNIBA)

S Taccola, F Greco, V Mattoli (IIT)

Daniela Pignatelli grad st (IIT/SANT'ANNA – UNIBA)



### NanoFilms (polymeric ultrathin films)

polymer-based films

- with very large area (up to tens cm<sup>2</sup>)
- few tens hundreds nanometers thick
- with enormous (10<sup>6</sup>) aspect ratio

**MAIN FEATURES** 

ultra conformable

□ high surface to volume ratio

easy functionalization



### polymeric free-standing NanoFilms for biomedical applications





## polymeric free-standing NanoFilms by Plasma Enhanced Chemical Vapor Deposition

NFs with non fouling properties, antibacterial features, drug-release capabilities, cell adhesion control

### PE-CVD of C<sub>2</sub>H<sub>4</sub>/Vancomycin coatings (aerosol assisted Atm P DBD)



nano/biocomposite coatings

PE-CVD of C<sub>x</sub>H<sub>y</sub>O<sub>z</sub> (PEO-like) and of Ag:C<sub>x</sub>H<sub>y</sub>O<sub>z</sub> coatings (sputter/deposition, Low P)





biomimetic, bioactive, antibacterial nanocomposite coatings

## Antibacterial effect of $C_2H_4$ /Vancomycin coatings

### Agar diffusion test against Staphylococcus Aureus: Preliminary results



Uncoated Ti

-Ti disc coated with Vancomycin containing films -In contact with bacteria seeded agar for 6h



Ti coated with C2H4/H2O



In collaboration with: Biomaterials, Biomechanics and Tissue Engineering group Dept. de Ciència dels Materials i Enginyeria Metallúrgica Technical University of Catalonia (UPC) Ti coated with C2H4/Vancomycin<sub>(aerosol)</sub> **PE-CVD free standing NanoFilm** 

support nano layer (es PLLA)

sacrificial water soluble nano layer (es PVA)

substrate



ASPECT RATIO: 10<sup>6</sup>

free standing PEO-like NanoFilm (53±7 nm thick) deposited directly on Si (no PVA)

Low P parallel plate reactor, RF 13.56 MHz 5 sccm Ar, 0.4 sccm DEGME 5 W, 400 mTorr 90 min

delamination in water

## PLASMA FUNCTIONALIZATION OF MATERIALS FOR BIO-ORIENTED APPLICATIONS other hot topics

- membranes
- $\circ$  biosensors
- o functionalization of NPs, CNTs, graphene
- $\circ$  µ-scale plasma printing/patterning
- probing nano-mechanical properties of thin coatings in liquids
- $\circ~{\rm free~standing~plasma~deposited~NanoFilms}$
- **• PE-CVD/treatments of materials in liquids**
- **O PECVD / treatments on biological tissues**
- cell-containining coatings

### PLASMA-ACTIVATION OF CELLS ... ON MATERIALS !?







#### Trizio et al, ISPC-22, Best Poster Award





TWO-WAY ANOVA and Bonferroni's Post-Test: =: p< 0.05 vs Control +: p<0.05 vs Dir 1 /: p<0.05 vs Dir 2 ): p<0.05 vs Dir 3 !: p<0.05 vs Ind 1 ?: p<0.05 vs Ind 1 2; p<0.05 vs Ind 2 \$: p<0.05 vs Ind 3

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# PLASMA PROCESSES AND POLYMERS

www.plasma-polymers.org ITO (23 nm) CHEMSO SiO, (33 r

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